

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 6923 / February 5, 2025

ADMINISTRATIVE PROCEEDINGS
File Nos. 3-22307 and 3-22308

In the Matters of

EPIC CAPITAL WEALTH ADVISORS, LLC	:	EXTENSION ORDER
and	:	
DAVID M. ANTHONY	:	

The Securities and Exchange Commission instituted these proceedings on November 8, 2024: pursuant to Section 203(c)(2)(B) of the Investment Advisers Act of 1940, as to Epic Capital Wealth Advisors, LLC, and pursuant to Section 203(f) of the Investment Advisers Act of 1940 as to David M. Anthony, Epic Capital’s president. On January 23, 2025, the Commission issued its Order Setting Proceeding for Expedited Hearing, as to Epic Capital, and Order Setting Proceeding for Hearing Before an Administrative Law Judge, as to Mr. Anthony. A joint prehearing conference, at which all parties appeared, was held on January 31, 2025. The parties agreed that the same evidence was relevant to both proceedings and that one joint hearing should be conducted.

Pursuant to Section 203(c)(2)(B) and the agreement of the parties, the Commission must resolve the Epic proceeding by April 22, 2025, and it ordered that the presiding Administrative Law Judge issue an Initial Decision (ID) in that proceeding by February 28, 2025, and any petition for review by the Commission of the ID to be filed by March 3, 2025. Accordingly, the undersigned ordered that the joint hearing be held, remotely, on February 6, 2025, and ordered posthearing procedural dates leading up to the ID on February 28, 2025. Pursuant to Section 203(c)(2)(B), the Commission may extend the time for concluding this proceeding to a date to which Epic consents. The Commission delegated authority to the undersigned to extend the time, upon such consent, and to modify the timeline for the ID and petition for review.

On February 4, 2025, the Division of Enforcement, with the consent of Respondent, requested that the deadline for the Commission to conclude these proceedings be extended until October 20, 2025, and that all other procedural deadlines should be adjusted accordingly.

The deadline for the Commission to conclude these proceedings will be extended to October 20, 2025. Procedural deadlines will be also extended to dates to be specified in a further order. The hearing scheduled for February 6, 2025, will be postponed to a date to be specified in that order.

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge