

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 4368/November 17, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17393

In the Matter of

CURTIS A. PETERSON

PROTECTIVE ORDER

On November 16, 2016, Respondent filed an unopposed motion pursuant to Rules of Practice 322 and 630(c), 17 C.F.R. §§ 201.322, .630(c), for a protective order and confidential treatment of all financial information he intends to submit in opposition to the Division's motion for summary disposition. He requests that the following material, which will be attached to his opposition as group Exhibit A, be protected: Respondent's "Disclosure of Assets and Financial Information Form, all tax returns, bank statements, financial statements, [and] accounting information." Mot. at 2.

"Any respondent submitting financial information pursuant to [Rule 630] . . . may make a motion, pursuant to [Rule 322], for the issuance of a protective order against disclosure of the information submitted to the public or to any parties other than the Division of Enforcement." 17 C.F.R. § 201.630(c). "Documents and testimony introduced in a public hearing are presumed to be public. A motion for a protective order shall be granted only upon a finding that the harm resulting from disclosure would outweigh the benefits of disclosure." 17 C.F.R. § 201.322(b).

Based on the representations in Respondent's unopposed motion, I find that the harm resulting from disclosure of this information outweighs the benefits of disclosure and GRANT Respondent's request for a protective order and confidential treatment of his prospective group Exhibit A and its contents.

If a party intends to make a filing (such as a brief or motion) that incorporates information subject to this protective order, the party must: (a) file a version clearly labeled "under seal" pursuant to this protective order, with the information subject to this protective order noted by brackets, bold typeface, or some other clear indication; and (b) file a public redacted version that removes the information subject to this protective order. A party need not redact business addresses, business telephone numbers, or the last four digits of financial account numbers.

Jason S. Patil
Administrative Law Judge