

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 4346/November 14, 2016

ADMINISTRATIVE PROCEEDING  
File No. 3-17528

In the Matter of

AARON NASH KAZINEC

ORDER SETTING DATE  
FOR ANSWER

Following the October 20, 2016, prehearing conference in this matter, I instructed the parties to notify my office if they were unable to agree to a settlement in principle on all major terms. On November 14, 2016, the Division of Enforcement submitted a notice reporting on the status of settlement with Respondent and a motion to set a schedule for Respondent to file an answer and for the parties to file motions for summary disposition.

The Division reports that the parties were unable to agree on a settlement in principle on all major terms and requests that this proceeding be resolved by motions for summary disposition. I ORDER Respondent to file an answer within twenty days after receiving this order. At the prehearing conference, Respondent stated that he did not file an answer because he "already answered them when [he] pled guilty." Tr. 13. For the purposes of this proceeding, Respondent will need to file an answer; Rule of Practice 220(c) describes the required contents of an answer. *See* 17 C.F.R. § 201.220(c). Respondent is warned that if he fails to file an answer, he may be deemed in default, the allegations in the order instituting proceedings may be deemed to be true, and the proceeding may be determined against him. 17 C.F.R. §§ 201.155(a)(2), .220(f). If Respondent files an answer, I will schedule a second telephonic prehearing conference to discuss how this proceeding will be resolved.

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Jason S. Patil  
Administrative Law Judge