

UNITED STATES OF AMERICA
 Before the
 SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
 Release No. 3922/June 15, 2016

ADMINISTRATIVE PROCEEDING
 File No. 3-16594

In the Matter of

EQUITY TRUST COMPANY

:
:

ORDER

The hearing in this proceeding was held on December 1-4 and 7-10, 2015, and the hearing and record were closed. The Office of the Secretary of the Securities and Exchange Commission, pursuant to 17 C.F.R. § 201.351(b), prepared a record index and served a copy of it on the parties on May 27, 2016. Proposed corrections to the record index, relating to the parties' filings, were timely filed, pursuant to 17 C.F.R. § 201.351(b), as agreed on by the parties.

As requested, IT IS ORDERED that the record index is corrected as follows:

Date of Filing	Original	Revision
7/16/15	"inquiring"	"inquiring"
11/3/15	"Respondent's Exhibit of Terry Prendergast, with Exhibits A-I"	"Respondent's Exhibit of Terry Prendergast, with Exhibits A-L"
11/4/15	Date of Court's order identified as "11/4/15"	Change date to "11/3/15"
11/9/15	"Respondent's Motion for Supplemental Disclosure"	"Respondent's Motion for Supplemental Disclosure (with Exhibits A-C)"
11/9/15	"Respondent's Opposition to Motion for Disposition"	"Respondent's Opposition to Motion for Deposition" ¹
1/7/16	"615-670"	"615-666; 668-670"
2/2/16	"roposed"	"Proposed"

¹ The parties proposed that the original 11/9/15 notation "Motion for Disposition" be deleted. However, there was a November 9, 2015, filing titled "Respondent's Opposition to Motion for Deposition" opposing the Division of Enforcement's proposal to depose Randy Poulson.

2/18/16	“from Respondent’s counsel”	“from Division”
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Additionally, the following five filings will be added:

Date of Filing	Description
11/13/15	“Letter of 11/13/15 from Division to ALJ Foelak confirming that ALJ granted (by email) an extensions to the Scheduling Order”
11/18/15	“Letter of 11/18/15 from Division to ALJ Foelak confirming that ALJ granted (by email) an extension of time to submit prehearing briefs, motions <i>in limine</i> , and objections to exhibits and witnesses.”
11/23/15	“Division’s Amended Exhibit List”
11/29/15	“Respondent’s Exhibit List”
2/24/16	“Letter of 2/24/16 from Respondent’s counsel to ALJ Foelak confirming that ALJ granted an extension to submit post-hearing reply submissions to 2/29/16.”

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge