

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 3006/August 3, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16349

In the Matter of

BARBARA DUKA

NOTICE OF STAY

The Securities and Exchange Commission issued an Order Instituting Administrative and Cease and Desist Proceedings against Respondent Barbara Duka on January 21, 2015, pursuant to Section 8A of the Securities Act of 1933, Sections 15E(d) and 21C of the Securities Exchange Act of 1934, and Section 9(b) of the Investment Company Act of 1940. A hearing is scheduled to begin on September 16, 2015.

Ms. Duka has filed a complaint in the United States District Court for the Southern District of New York and has sought to enjoin this administrative proceeding. *See* Complaint, *Duka v. SEC*, No. 1:15-cv-357 (S.D.N.Y. Jan. 16, 2015). The Commission moved the court to dismiss. On August 3, 2015, the district court entered an order denying the motion to dismiss and reserving judgment for seven days on Ms. Duka's application for a preliminary injunction. *Duka*, No. 1:15-cv-357, ECF No. 57, slip op. at 6. The court also ordered that "[t]he parties are directed not to proceed with Duka's SEC proceeding in the interim." *Id.*

In light of the court's order, this proceeding is stayed through August 10, 2015.

James E. Grimes
Administrative Law Judge