

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2603/April 29, 2015

ADMINISTRATIVE PROCEEDING
File Nos. 3-16311, 3-16312

In the Matters of

RELIANCE FINANCIAL
ADVISORS, LLC, TIMOTHY
S. DEMBSKI AND WALTER F.
GRENDA, JR.

SCOTT M. STEPHAN

ORDER REGARDING COMPLIANCE
WITH U.S. COURT OF APPEALS FOR
THE SECOND CIRCUIT
COURTROOM REQUIREMENTS

The Securities and Exchange Commission instituted these proceedings on December 10, 2014. A hearing is scheduled to begin on May 11, 2015, at the Thurgood Marshall United States Courthouse, 40 Foley Square, New York, New York, in Courtroom 1505.

On April 28, 2015, it was brought to my attention that there may have been a lack of clarity regarding the parties' obligation to comply with rules regarding the maintenance, preservation, and protection of the courtroom in order for the hearing to take place at the Thurgood Marshall courthouse.

The parties are reminded that we have been afforded the sincere privilege of conducting this proceeding in a courtroom customarily reserved for a panel of the U.S. Court of Appeals for the Second Circuit. That courtroom is appointed in a manner consistent with the dignity of the U.S. Court of Appeals, and, as such, extraordinary efforts must be taken to ensure that it is left in immaculate condition. To that end, it is imperative that the parties abide scrupulously to all requirements and requests of the Court of Appeals staff, and in particular, to those of the Clerk's Office, led by Ms. O'Hagan Wolfe.

I have every expectation that, as soon as practicable, and well in advance of the hearing, parties seeking to bring various equipment (including but not limited to computers, monitors, and cords) or voluminous documents into the courtroom meet and confer, by phone and in-person, with the staff of the Court of Appeals, to ensure that all requirements to preserve and protect the fixtures, furnishings, and electronic equipment of that courtroom are strictly adhered to.

Therefore, I ORDER that the parties shall comply with all requirements that the Court of Appeals's staff imposes regarding the use of its facilities.

I FURTHER ORDER that Mr. Alexander Vasilescu personally, along with any and all pertinent staff members, undertake best efforts to expeditiously arrange a meeting with Ms. O'Hagan Wolfe, by phone and in person, at 40 Foley Square, to ensure that all of these requirements are met.

I FURTHER ORDER that the Division of Enforcement, to the extent that it wants to bring equipment such as printers, scanners, and voluminous documents into the building, undertake its best efforts to coordinate with the U.S. Attorney's Office of the Southern District of New York to ascertain, to the greatest extent possible, whether there may be space under the control of that office in the building (such as any space on the third floor litigation support office) to maintain such materials during the proceeding, particularly with respect to any and all items that need not be in Courtroom 1505 on daily basis.

I FURTHER ORDER that by May 6, 2015, the Division file a notice confirming that the above-directed requirements specific to the Division have taken place, and that the requirements of the staff of the U.S. Court of Appeals have been satisfied.

Jason S. Patil
Administrative Law Judge