

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2533/April 13, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-16337

In the Matter of

BRENT F. WILLIAMS

:
:

ORDER

The Securities and Exchange Commission instituted this proceeding on January 13, 2015, pursuant to Section 203(f) of the Investment Advisers Act of 1940. The proceeding is a follow-on proceeding based on *United States v. Williams*, No. 2:09-cr-01492 (D. Ariz. June 28, 2013), *appeal docketed*, No. 13-10529 (9th Cir. Oct. 11, 2013), in which Respondent Brent F. Williams (Williams) was convicted of mail fraud, wire fraud, conspiracy to commit mail and wire fraud, and money laundering, in violation of 18 U.S.C. §§ 1341, 1343, 1349, and 1957(a).

At the March 31, 2015, prehearing conference the Division of Enforcement (Division) was granted leave to file a motion for summary disposition pursuant to 17 C.F.R. § 201.250, and a schedule was set. *Brent F. Williams*, Admin. Proc. Rulings Release No. 2480, 2015 SEC LEXIS 1183 (A.L.J. Apr. 1, 2015). The Division was to forward its investigative file to the facility at which Williams is incarcerated by April 14, 2015.¹ The Division has requested a two-week extension of this date, stating that the Bureau of Prisons requires that the documents must be produced in paper form via U.S. Mail and that the conversion of the file into paper form (approximately 43,000 printed pages) will not be completed by April 14, 2015. Accordingly, consistent with 17 C.F.R. § 201.161, the due date for the production of the investigative file will be extended to April 28, 2015, and the due dates for Williams's opposition and the Division's reply will be extended by two weeks to July 14, 2015, and July 24, 2015, respectively.²

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge

¹ See *Byron S. Rainer*, Exchange Act Release No. 59040, 2008 SEC LEXIS 2840, at *7 (Dec. 2, 2008); *José P. Zollino*, Exchange Act Release No. 51632, 2005 SEC LEXIS 987, at *10 (Apr. 29, 2005).

² The Division did not request an extension of the April 28, 2015, due date for its motion for summary disposition.