

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2456/March 23, 2015

ADMINISTRATIVE PROCEEDING
File No. 3-15350

In the Matter of the Application of

SECURITIES INDUSTRY AND FINANCIAL
MARKETS ASSOCIATION

For Review of Actions Taken by
Self-Regulatory Organizations

ORDER ON PREHEARING
CONFERENCE REQUEST

On May 16, 2014, the Securities and Exchange Commission (Commission) issued an Order Establishing Procedures and Referring Applications for Review to Administrative Law Judge for Additional Proceedings. *Sec. Indus. & Fin. Mkts. Ass'n*, Securities Exchange Act of 1934 Release No. 72182, 2014 SEC LEXIS 1686. The hearing will begin on April 20, 2015.

On March 23, 2015, the parties requested a telephonic prehearing on Wednesday, March 25, 2015.

Ruling

I ORDER a telephonic prehearing conference on March 25, 2015, at 2:00 p.m. EDT. I direct the parties to make an informal submission to my office via email to alj@sec.gov by close of business on March 24, 2015, listing the subjects they want to discuss and the matters they want me to rule on.

Brenda P. Murray
Chief Administrative Law Judge