

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2154/December 19, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15746

In the Matter of

ROY DIXON, JR.

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PREHEARING ORDER

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Proceedings (OIP) on February 11, 2014, pursuant to Sections 15(b) of the Securities Exchange Act of 1934 and 203(f) of the Investment Advisers Act of 1940. The proceeding is a follow-on proceeding based on *SEC v. Onyx Capital Advisors, LLC*, No. 2:10-cv-11633 (E.D. Mich. Jan. 31, 2014), in which Roy Dixon, Jr. (Dixon), was enjoined against violations of the antifraud provisions of the federal securities laws. A prehearing conference, at which Robert Moye, Esq., appeared on behalf of the Division of Enforcement (Division), and Dixon appeared *pro se*, was held today.

Dixon's Answer to the OIP is due December 29, 2014. If he fails to file an Answer within the time provided, he will be deemed to be in default, and the undersigned will enter an order barring him from the securities industry. *See* OIP at 3; 17 C.F.R. §§ 201.155(a), .220(f). Assuming Dixon files an Answer, the Division of Enforcement (Division) was granted leave to file a motion for summary disposition pursuant to 17 C.F.R. § 201.250, which will be due by January 30, 2015. Dixon may file an opposition, and the Division may file a reply, by February 13 and February 20, 2015, respectively.

IT IS SO ORDERED.

/S/ Carol Fox Foelak
Carol Fox Foelak
Administrative Law Judge