

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2097/December 4, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-16008

In the Matter of

CRUCIBLE CAPITAL GROUP, INC., and
CHARLES MOORE

ORDER EXTENDING STAY

This proceeding against Respondents was commenced on August 8, 2014, with an Order Instituting Proceedings (OIP). The Division of Enforcement alleges that broker-dealer Crucible Capital willfully violated, and its owner Charles Moore willfully aided and abetted and caused violations of, minimum net capital and books and records requirements, under Sections 15(c)(3) and 17(a)(1) of the Securities Exchange Act of 1934 and related rules.

I previously granted the Application to Intervene and Motion to Stay by the U.S. Attorney for the Southern District of New York. *Crucible Capital Group, Inc.*, Admin. Proc. Rulings Release No. 1714, 2014 SEC LEXIS 2989 (Aug. 20, 2014). I also ordered a telephonic prehearing conference for December 5, 2014, but clarified that the prehearing conference would be canceled if the U.S. Attorney *files* a written notice before that date providing reasons why the stay should remain in effect. *Id.* Today, by email, I received a letter from the U.S. Attorney's Office submitting that the public interest continues to support a stay of this proceeding.

While this letter does substantively support that the stay should remain in effect pursuant to 17 C.F.R. § 201.201(c)(3), the letter is procedurally deficient. All substantive filings must be submitted in hardcopy to the Commission's Office of the Secretary and include a certificate of service, in compliance with 17 C.F.R. §§ 201.151, 152. Also, it is important that no party or non-party in this proceeding email me directly, as doing so risks contravention of rules against *ex parte* communications, *see* 5 U.S.C. § 557(d), 17 C.F.R. § 201.120. It is however acceptable, and often helpful, to send courtesy copies of filings properly filed with the Office of the Secretary to alj@sec.gov and the attorney-adviser assigned to this matter.

Accordingly, in anticipation of a procedurally compliant filing, it is ORDERED that the stay in this proceeding will stay in effect for another four months, or until April 6, 2014. A

telephonic prehearing conference is ordered for April 6, 2014, at 11:30 a.m. EDT; if the U.S. Attorney properly files a written notice by April 1, 2014, asking that the stay remain in effect and providing reasons why the stay should remain in effect, the telephonic prehearing conference will be canceled and the stay continued.

James E. Grimes
Administrative Law Judge