

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 2038 /November 20, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-16195

In the Matter of

JUDY K. WOLF

ORDER FOLLOWING
PREHEARING CONFERENCE

The Securities and Exchange Commission (Commission) commenced this proceeding on October 15, 2014, with an Order Instituting Administrative Proceedings (OIP) against Respondent, pursuant to Sections 15(b) and 21C of the Securities Exchange Act of 1934 and Sections 203(f) and 203(k) of the Investment Advisers Act of 1940.

A telephonic prehearing conference was held today, and was attended by the Division of Enforcement (Division) and Respondent's counsel. At the prehearing conference, service was deemed to have occurred on October 17, 2014.

The following procedural schedule was established:

- January 12, 2015: The Division shall produce to Respondent and file (and provide this Office with) witness and exhibit lists and shall produce to Respondent (but not file) proposed stipulations, if any.
- January 20, 2015: Respondent shall produce to the Division and file (and provide this Office with) witness and exhibit lists and shall produce to the Division (but not file) proposed stipulations, if any.
- February 9, 2015: The parties shall file any motions in limine and any objections to exhibits and witnesses.
- February 17, 2015: The parties shall participate in a final telephonic prehearing conference at 11:00 a.m. EST.

February 23, 2015: The hearing will commence in the Commission Headquarters Offices, Hearing Room 2, 100 F. Street, N.E., Washington, D.C. 20549.

The parties are reminded that they must file hard copies of all filings with the Office of the Secretary, but are also encourage to send each other—and the Office of Administrative Law Judges where applicable —electronic copies via email, of materials to be filed and exchanged.

SO ORDERED.

Cameron Elliot
Administrative Law Judge