

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1790/September 10, 2014

ADMINISTRATIVE PROCEEDING
File No. 3-15918

In the Matter of

DENNIS J. MALOUF

ORDER SETTING HEARING
LOCATION

The Securities and Exchange Commission commenced this proceeding on June 9, 2014, with an Order Instituting Administrative and Cease-and-Desist Proceedings pursuant to Section 8A of the Securities Act of 1933; Sections 15(b), 15C(c), and 21C of the Securities Exchange Act of 1934; Sections 203(f) and 203(k) of the Investment Advisers Act of 1940; and Section 9(b) of the Investment Company Act of 1940. The hearing is currently scheduled to commence on November 3, 2014.

The hearing will be held at the Senator Dennis Chavez Federal Building, 500 Gold Avenue, S.W., Albuquerque, New Mexico, in the Sandia Courtroom, on the thirteenth floor, east side.

SO ORDERED.

Cameron Elliot
Administrative Law Judge