

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1424/May 8, 2014

ADMINISTRATIVE PROCEEDING  
File No. 3-15829

In the Matter of

RICHARD J. BUSWELL

ORDER FOLLOWING PREHEARING  
CONFERENCE

The Securities and Exchange Commission (Commission) issued an Order Instituting Proceedings (OIP) on April 8, 2014, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940.

A telephonic prehearing conference was held today, attended by the Division of Enforcement and Respondent Richard J. Buswell (Buswell). At the prehearing conference, service was deemed to have occurred on May 2, 2014, pursuant to Rule 141(a)(2)(i) of the Commission's Rules of Practice. See 17 C.F.R. § 201.141(a)(2)(i). Buswell's Answer is due within twenty days of service of the OIP, or by May 27, 2014. See 17 C.F.R. §§ 201.160(b), .220. The parties agreed to the following briefing schedule for motions for summary disposition:

June 6, 2014: Motions for summary disposition are due;  
June 27, 2014: Oppositions are due; and  
July 16, 2014: Replies, if any, are due.

SO ORDERED.

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Cameron Elliot  
Administrative Law Judge