

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS

Release No. 1392/April 21, 2014

ADMINISTRATIVE PROCEEDING

File No. 3-15829

In the Matter of

RICHARD J. BUSWELL

ORDER POSTPONING HEARING AND
SCHEDULING PREHEARING
CONFERENCE

The Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) on April 8, 2014, pursuant to Section 15(b) of the Securities Exchange Act of 1934 and Section 203(f) of the Investment Advisers Act of 1940. A hearing is currently scheduled for May 5, 2014.

Today, this Office received the Division of Enforcement's Motion to Continue Hearing and Schedule a Telephonic Pre-Hearing Conference (Motion), which (1) represents that Respondent Richard J. Buswell is currently incarcerated and has not yet been served with the OIP; and (2) requests that the hearing be postponed and a telephonic prehearing conference be scheduled May 7, 8, or 9, 2014.

For good cause shown, the Motion is GRANTED, and it is ORDERED that the hearing is POSTPONED sine die, and a telephonic prehearing conference will be held on May 8, 2014, at 9:00 a.m. EDT.

Cameron Elliot
Administrative Law Judge