

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1363/April 7, 2014

ADMINISTRATIVE PROCEEDING  
File No. 3-15792

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In the Matter of

FREDERICK D. SCOTT

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POSTPONEMENT ORDER

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The Securities and Exchange Commission (Commission) instituted this proceeding with an Order Instituting Proceedings (OIP) on March 13, 2014, pursuant to Section 203(f) of the Investment Advisers Act of 1940, and the hearing was scheduled to commence on April 14, 2014. The proceeding is a follow-on proceeding based on United States v. Scott, No. 13-cr-520 (E.D.N.Y. Feb. 25, 2014), in which Respondent Frederick D. Scott (Scott) was convicted of conspiracy to commit wire fraud and of making a materially false statement in writing to Commission staff.

Scott was served with the OIP in accordance with 17 C.F.R. § 201.141(a)(2)(i) by March 24, 2014. To allow time for his Answer,<sup>1</sup> consistent with 17 C.F.R. § 201.161, the hearing will be postponed sine die, and a prehearing conference will be held by telephone on May 16, 2014, at 11:00 a.m. EDT, if the proceeding has not been resolved by then.

IT IS SO ORDERED.

/S/ Carol Fox Foelak

Carol Fox Foelak

Administrative Law Judge

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<sup>1</sup> Scott's Answer is due within twenty days of service on him. See OIP at 2; 17 C.F.R. § 201.220(b). If he fails to file an Answer within the time provided, he will be deemed to be in default, and the undersigned will enter an order barring him from the securities industry. See OIP at 2; 17 C.F.R. §§ 201.155(a), .220(f).