

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1304/March 13, 2014

ADMINISTRATIVE PROCEEDING  
File No. 3-15737

---

In the Matter of

THOMAS C. GONNELLA

---

ORDER

The Securities and Exchange Commission instituted this proceeding with an Order Instituting Administrative and Cease-and-Desist Proceedings (OIP), pursuant to Section 8A of the Securities Act of 1933, Sections 15(b) and 21C of the Securities Exchange Act of 1934, Section 203(f) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940, on February 4, 2014.

During the prehearing conference on February 25, 2014, service of the OIP was deemed to have occurred on February 7, 2014, and Thomas C. Gonnella (Gonnella) was granted an extension of time until March 17, 2014, to file his Answer to the OIP. Thomas C. Gonnella, Admin. Proc. Rulings Release No. 1269, 2014 SEC LEXIS 659 (Feb. 25, 2014). A second prehearing conference was scheduled for March 17, 2014, at 2:30 p.m.

Today, this Office received a Notice of Appearance from Andrew J. Frisch, counsel for Gonnella, and a Motion to Extend Time to Answer and Reschedule Time of Prehearing Conference (Motion). The Motion requests an extension of time until March 31, 2014, to file an Answer and that the prehearing conference be rescheduled to a later time on March 17, 2014, or another date.

Respondent's Motion is GRANTED. IT IS ORDERED that Respondent shall file his Answer by March 31, 2014.

IT IS FURTHER ORDERED that the currently scheduled telephonic prehearing conference is rescheduled for March 17, 2014, at 5:00 p.m. EDT.

---

Cameron Elliot  
Administrative Law Judge