

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1145/January 3, 2014

ADMINISTRATIVE PROCEEDING  
File No. 3-15514

In the Matter of

DONALD J. ANTHONY, JR.,  
FRANK H. CHIAPPONE,  
RICHARD D. FELDMANN,  
WILLIAM P. GAMELLO,  
ANDREW G. GUZZETTI,  
WILLIAM F. LEX,  
THOMAS E. LIVINGSTON,  
BRIAN T. MAYER,  
PHILIP S. RABINOVICH, AND  
RYAN C. ROGERS

ORDER MODIFYING PROCEDURAL  
SCHEDULE

The procedural schedule issued on October 28, 2013, states that the parties “shall exchange and file (and provide this Office with) witness lists, exhibits lists, and expert reports, and shall exchange (but should not file) pre-marked exhibits,” on January 6, 2014. On January 2, 2014, Respondent William F. Lex (Lex) requested a one-day extension in the January 6, 2014, date, due to the blizzard forecast for the New York area. Lex represented that the Division of Enforcement does not object to the request.

**Order**

The request is GRANTED. I ORDER that the exchange that was to occur on January 6, 2014, is now scheduled to occur on Friday, January 10, 2014. The materials shall be exchanged among the parties but not filed with the Securities and Exchange Commission, and the only materials I would like to receive before the hearing are the expert reports. I will entertain objections to witnesses and exhibits at the hearing. There is no due date for motions.

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Brenda P. Murray  
Chief Administrative Law Judge