

UNITED STATES OF AMERICA  
Before the  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS  
Release No. 1108/December 18, 2013

ADMINISTRATIVE PROCEEDING  
File No. 3-15627

In the Matter of

TRI-STAR ADVISORS, INC.,  
WILLIAM T. PAYNE, AND  
JON C. VAUGHAN

ORDER POSTPONING  
HEARING, SCHEDULING  
PREHEARING CONFERENCE,  
AND EXTENDING TIME TO  
ANSWER

The Securities and Exchange Commission issued an Order Instituting Administrative and Cease-and-Desist Proceedings (OIP) on November 26, 2013, alleging that Tri-Star Advisors, Inc. (TSA), willfully violated Sections 206(3) and (4) of the Investment Advisers Act of 1940 (Advisers Act) and Advisers Act Rule 206(4)-7, and that William T. Payne and Jon C. Vaughan caused TSA's violations. Respondents were served with the OIP through their counsel on December 2, 2013, and Respondents are required to answer within twenty days after service of the OIP. OIP at 4; 17 C.F.R. §§ 201.141(a)(2)(i), .220(b). On December 13, 2013, Respondents filed an Unopposed Motion, seeking to extend the due date for their Answers from December 23, 2013, to January 22, 2014, postpone the hearing, and schedule a telephonic prehearing conference on January 30, 2014, or during the week of February 3, 2014.

Given the parties' agreement, the holidays, and the need for document review, I GRANT the Unopposed Motion. I POSTPONE the hearing scheduled to begin on January 6, 2014, and ORDER that Answers are due on January 22, 2014, and a telephonic prehearing conference shall be held on February 7, 2014, at 12:30 p.m. EST.

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Brenda P. Murray  
Chief Administrative Law Judge