

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No.1078 / November 29, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15413

In the Matter of

RICHARD D. HICKS

ORDER POSTPONING PREHEARING
CONFERENCE

The Securities and Exchange Commission (Commission) issued a Corrected Order Instituting Administrative and Cease-and-Desist Proceedings in this proceeding on August 13, 2013.

On November 27, 2013, the Division of Enforcement (Division) requested a postponement of the prehearing conference scheduled for December 6, 2013, because Richard D. Hicks's Offer of Settlement is before the Commission but will not be considered before the date of the prehearing conference. Since the last postponement on November 4, 2013, Hicks has been ordered to pay restitution in the amount of \$243,686.89 and court costs of \$338.00, and placed on supervised release for ten years in Texas v. Hicks, Case No. 241-1208-13, 241st District Court of Smith County, Texas (26990120-FI) Article 581-29C, Control # 13-04475 (Nov. 7, 2013).

Order

I POSTPONE the telephonic prehearing conference to Friday, January 24, 2014, at 2:00 p.m. EST. The Division will notify my Office if the Commission rejects Hicks's Offer of Settlement.

Brenda P. Murray
Chief Administrative Law Judge