

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1036/November 13, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15514

In the Matter of

DONALD J. ANTHONY, JR.,
FRANK H. CHIAPPONE,
RICHARD D. FELDMANN,
WILLIAM P. GAMELLO,
ANDREW G. GUZZETTI,
WILLIAM F. LEX,
THOMAS E. LIVINGSTON,
BRIAN T. MAYER,
PHILIP S. RABINOVICH, AND
RYAN C. ROGERS

ORDER

The Securities and Exchange Commission instituted this proceeding on September 23, 2013, pursuant to Section 8A of the Securities Act of 1933, Sections 15(b) and 21C of the Securities Exchange Act of 1934, Section 203(f) of the Investment Advisers Act of 1940, and Section 9(b) of the Investment Company Act of 1940. Motions for a more definite statement are due by November 18, 2013, under my scheduling order dated October 28, 2013. The parties have conferred, and yesterday they jointly proposed this briefing schedule for all motions for a more definite statement: any opposition briefs due by November 25, 2013, and any reply briefs due by December 3, 2013.

For good cause shown, it is ORDERED that any opposition briefs to motions for a more definite statement are due by November 25, 2013, and any reply briefs in support of motions for a more definite statement are due by December 3, 2013.

Cameron Elliot
Administrative Law Judge