

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

ADMINISTRATIVE PROCEEDINGS RULINGS
Release No. 1006/October 29, 2013

ADMINISTRATIVE PROCEEDING
File No. 3-15443

In the Matter of

ALAN SMITH

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ORDER POSTPONING PREHEARING
CONFERENCE

The Securities and Exchange Commission issued an Order Instituting Proceedings (OIP) on August 27, 2013, alleging that on June 27, 2013, Alan Smith (Smith) was enjoined from violating Sections 5(a) and 5(c) of the Securities Act of 1933 and the antifraud provisions of the federal securities statutes, and from aiding and abetting future violations of Section 15(a) of the Securities Exchange Act of 1934, in SEC v. Secure Capital Funding Corp., No. 3:11-cv-00916 (D.N.J.). The OIP directs an Initial Decision no later than 210 days from the date the OIP is served on Smith. OIP at 3.

On October 28, 2013, the Division of Enforcement (Division) informed my Office that its attempt to serve Smith in Latvia was unsuccessful.

Order

Given this fact, I POSTPONE the prehearing conference scheduled for October 30, 2013, and ORDER a telephonic prehearing conference for Thursday, November 21, 2013, at 10:00 a.m. EST. If the Division determines that service has been accomplished before this date, it should inform my Office.

Brenda P. Murray
Chief Administrative Law Judge