

**U.S. Securities and Exchange Commission  
Asset Management Advisory Committee  
Minutes of March 19, 2021 Meeting<sup>1</sup>**

The U.S. Securities and Exchange Commission (“SEC”) Asset Management Advisory Committee was convened at approximately 9:00 a.m. Eastern Time on March 19, 2021, by remote means. The meeting concluded at approximately 3:00 p.m.

In accordance with the provisions of the Federal Advisory Committee Act, 5 U.S.C.–App., the meeting was open to the public.

**Advisory Committee members in attendance:**

Edward Bernard, Chairman  
John Bajkowski  
Jane Carten  
Scot Draeger  
Mike Durbin  
Gilbert Garcia  
Paul Greff  
Neesha Hathi  
Adeel Jivraj  
Ryan Ludt  
Susan McGee  
Jeffrey Ptak  
Erik Sirri  
Aye Soe  
Ross Stevens  
Rama Subramaniam  
John Suydam  
Russ Wermers  
Alex Glass  
Joe Savage

**SEC Acting Chair and Commissioners in attendance for all or a portion of the meeting:**

Acting Chair Lee<sup>2</sup>  
Commissioner Crenshaw  
Commissioner Peirce  
Commissioner Roisman

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<sup>1</sup> A webcast of the meeting, transcript, and related materials are available at: <https://www.sec.gov/page/asset-management-advisory-committee>

<sup>2</sup> Via pre-recorded video.

**SEC staff in attendance for all or a portion of the meeting:\***

Sarah ten Siethoff, Acting Director, Division of Investment Management  
Christian Broadbent, Senior Special Counsel, Division of Investment Management  
Brent Fields, Acting Deputy Director, Division of Investment Management  
Gabe Collins, IT Specialist, Division of Investment Management  
Veronica Davis, Program Specialist, Division of Investment Management  
Robert Marchman, Senior Policy Adviser, Office of Minority and Women Inclusion  
Jay Williamson, Branch Chief, Division of Investment Management  
Olawalé Oriola, Attorney, Division of Investment Management  
Emily Rowland, Attorney, Division of Investment Management  
Kerri Riemer, Attorney, Division of Investment Management

*\*List does not reflect additional SEC staff who may have been present as part of the audience.*

**Presentations were given by:\*\***

Marisa Buchanan, JPMorgan Chase & Co.  
Jill Blickstein, American Airlines  
Yafit Cohn, The Travelers Companies, Inc.  
Richard F. McMahon, Jr., Edison Electric Institute  
Sandy Boss, BlackRock  
Carolina San Martin, Wellington Management

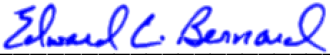
*\*\* The following Committee members participated in the presentations: Aye Soe, Gilbert Garcia, Rama Subramaniam, John Suydam, Adeel Jivraj, Joe Savage, John Bajkowski, Neesha Hathi, and Scot Draeger.*

**Description of meeting proceedings:**

The Committee discussed matters in the asset management industry relating to: (1) the ESG Subcommittee, including a panel discussion on that Subcommittee's potential recommendations of December 1, 2020; (2) The Diversity & Inclusion and Private Investment Subcommittees, including potential recommendations from those Subcommittees; and (3) AMAC's agenda for 2021. Committee members provided observations from the meeting. Background materials and a transcript reflecting the meeting's full proceedings are appended to these Minutes.

## CHAIRMAN CERTIFICATION

I hereby certify the accuracy of these minutes of a meeting of the U.S. Securities and Exchange Commission Asset Management Advisory Committee.



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June 15, 2021

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Edward Bernard  
Committee Chairman

Date

**Attachments are listed (with hyperlinks) on the following page.**

**Attachments:**

- Attachment A: [Transcript of the meeting](#), as prepared by Diversified Reporting Services, Inc.
- Attachment B: [Panelist Bios](#)
- Attachment C: [Private Investments Meeting Slides](#)