

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 94288 / February 22, 2022

WHISTLEBLOWER AWARD PROCEEDING
File No. 2022-34

In the Matter of the Claim for an Award

in connection with

"Redacted"

Notice of Covered Action "Redacted"

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff ("CRS") issued a Preliminary Determination recommending that "Redacted" ("Claimant") receive a whistleblower award of more than \$600,000 which represents *** percent (*** %) of the monetary sanctions collected, or to be collected, in the above-referenced Covered Action (the "Covered Action").

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action.¹

"Redacted"

¹ See Securities Exchange Act of 1934 ("Exchange Act") Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

"Redacted"

In reaching this determination, the Commission considered that Claimant provided new information that significantly contributed to the success of the Covered Action; Claimant provided substantial, ongoing assistance, including participating in an interview with Commission staff and providing helpful documents on multiple occasions; and the charges in the Covered Action were based, in part, on Claimant's information.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of *** percent (***%) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Vanessa A. Countryman
Secretary