

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 93936 / January 10, 2022

WHISTLEBLOWER AWARD PROCEEDING
File No. 2022-24

In the Matter of the Claims for Awards

in connection with

Redacted

Notice of Covered Action Redacted

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIMS

The Claims Review Staff (“CRS”) issued a Preliminary Determination in connection with the above-referenced Covered Action (the “Covered Action”) recommending that Redacted (“Claimant 1”) and Redacted (“Claimant 2”) jointly receive a whistleblower award in the amount of Redacted percent (Redacted %) of the monetary sanctions collected in the Covered Action for a total payout of approximately \$1.5 million.

The recommendation of the CRS is adopted. The record demonstrates that Claimants 1 and 2 voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action.¹

Redacted

¹ See Exchange Act Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

Redacted

Redacted

Redacted

Claimants 1 and 2 provided substantial ongoing assistance throughout the course of the investigation, providing several interviews and consulting telephonically with staff via counsel on numerous occasions, including before and after witness testimony of key witnesses.

Redacted

Accordingly, it is ORDERED that Claimants 1 and 2 shall receive a joint award of ^{***} percent (^{***} %) of the monetary sanctions collected or to be collected in the Covered Action.⁴

By the Commission.

Vanessa A. Countryman
Secretary

Redacted

⁴ Our determination to treat Claimant 1 and Claimant 2 as joint whistleblowers has not impacted the net total award percentage to Claimant 1 and Claimant 2. Unless Claimant 1 and Claimant 2, within ten (10) calendar days of the issuance of this Order, make a joint request, in writing, for a different allocation of the award between the two of them, the Office of the Whistleblower is directed to pay each of them individually 50% of their joint award.