

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 90866 / January 7, 2021

WHISTLEBLOWER AWARD PROCEEDING  
File No. 2021-21

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In the Matter of the Claim for an Award

in connection with

Redacted  
Redacted

Notice of Covered Action Redacted

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**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM**

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that Redacted (“Claimant”) receive a whistleblower award of nearly \$600,000, equal to \*\*\* percent ( \*\*\* %) of the monetary sanctions collected in the above-referenced Covered Action (the “Covered Action”). Claimant provided written notice of Claimant’s decision not to contest the Preliminary Determination.

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action.<sup>1</sup>

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<sup>1</sup>See Securities Exchange Act of 1934 (“Exchange Act”) Section 21F(b)(1), 15 U.S.C. §78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

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Claimant

provided information prompting the opening of the investigation, met in-person with the Enforcement staff and provided significant documents and information and identified key witnesses, which helped conserve Commission time and resources. Claimant also repeatedly reported Claimant's concerns internally in an effort to correct the problems at the company. \*\*\*

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Accordingly, it is hereby ORDERED that Claimant shall receive an award of \*\*\* percent ( \*\*\* %) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Eduardo A. Aleman  
Deputy Secretary