

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 88879 / May 14, 2020

Admin. Proc. File No. 3-19766

In the Matter of the Application of

SECURITIES INDUSTRY AND
FINANCIAL MARKETS ASSOCIATION

For Review of Action Taken by

CAT LLC AND CERTAIN
SELF-REGULATORY ORGANIZATIONS

ORDER GRANTING REQUEST TO WITHDRAW APPLICATION FOR REVIEW AND
DISMISSING REVIEW PROCEEDING

On April 22, 2020, the Securities Industry and Financial Markets Association (“SIFMA”) filed an application for review of action taken by CAT LLC and certain self-regulatory organizations relating to the Consolidated Audit Trail system. SIFMA also filed a motion requesting a stay of that action pending review of its application.

On May 13, 2020, SIFMA notified the Commission that the parties had reached a settlement of the issues presented in its application for review. SIFMA attached a stipulation signed by the parties agreeing to dismiss the proceeding. SIFMA stated that, as a result, it withdrew its application and stay motion and requested that this proceeding be dismissed.

It is appropriate to grant SIFMA’s request. Accordingly, it is ORDERED that the request by SIFMA to withdraw its application for review is granted and that this review proceeding is dismissed.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary