

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 87480 / November 6, 2019

Admin. Proc. File No. 3-19006

In the Matter of  
ERNEST J. ROMER, III

ORDER

On February 22, 2019, the Securities and Exchange Commission issued an order instituting administrative proceedings against Ernest J. Romer, III, pursuant to Section 15(b) of the Securities Exchange Act of 1934.<sup>1</sup> On August 29, 2019, we issued an order requiring that Romer show cause by October 14, 2019, why he should not be deemed to be in default and, if Romer did not timely file a response to the order, requiring the Division of Enforcement to file a motion for default and other relief by October 28, 2019.<sup>2</sup> As of today, the Office of the Secretary has not received any filing in this proceeding since the order to show cause was issued.

Accordingly, the Division of Enforcement is ORDERED to file by November 13, 2019, either a motion for default and other relief or a statement explaining why no such motion has been filed. While a hard copy of any filing must be delivered to the Commission's Office of the Secretary,<sup>3</sup> the parties are reminded that we request that an electronic courtesy copy of each filing also be emailed to APFilings@sec.gov in PDF text-searchable format.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman  
Secretary

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<sup>1</sup> *Ernest J. Romer, III*, Exchange Act Release No. 85177, 2019 WL 857541; *see* 15 U.S.C. § 78o(b).

<sup>2</sup> *Ernest J. Romer, III*, Exchange Act Release No. 86820, 2019 WL 4073786.

<sup>3</sup> *See* 17 C.F.R. § 201.151.