

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934  
Release No. 81384 / August 11, 2017

Admin. Proc. File No. 3-14880

In the Matter of  
JOHN JANTZEN

ORDER SCHEDULING BRIEFS

On November 6, 2012, an administrative law judge issued an initial decision barring John Jantzen from the securities industry for five years after he had been permanently enjoined by a U.S. district court from violating the antifraud provisions of the federal securities laws.<sup>1</sup> Jantzen did not file a petition for review, and the Commission issued a notice on December 10, 2012, that the initial decision had become the final decision of the Commission and was thereby declared effective after the Commission chose not to review the decision on its own initiative.<sup>2</sup>

On July 25, 2017, Jantzen filed a request “for relief/modification of the Associational Bar” in which he asks the Commission to declare that his five-year bar began on March 7, 2012 (the day he claims to have ended his association with FINRA) and thus ended on March 8, 2017. It appears from Jantzen’s filing that he did not serve his motion on the Division of Enforcement.

Accordingly, it is ORDERED that that the Division of Enforcement file a brief in response to Jantzen’s request not to exceed 7,000 words by August 25, 2017, and Jantzen file a reply brief not to exceed 7,000 words by September 8, 2017.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Brent J. Fields  
Secretary

---

<sup>1</sup> *John Jantzen*, Initial Decision Release No. 472, 2012 WL 5422022 (Nov. 6, 2012).

<sup>2</sup> *John Jantzen*, Exchange Act Release No. 68396, 2012 WL 6101866 (Dec. 10, 2012).