

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 80897 / June 9, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-17995

In the Matter of the Application of

Michael R. Turner

For Review of Disciplinary Action Taken By

Financial Industry Regulatory Authority
(formerly NASD)

EXTENSION ORDER

On May 22, 2017, counsel for applicant Michael R. Turner (“Turner”) filed with the Commission an appeal of a NASD Rule 9552 bar imposed on Turner in April 2006. FINRA has requested an extension of time for filing the certified record, stating that the NASD’s records pertaining to this matter were destroyed in 2016 in accordance with FINRA’s (formerly NASD) record retention policy. FINRA requests a three-business day extension of time to locate relevant documents.

Extensions of time are disfavored. However, it appears appropriate, in light of the circumstances, to grant the requested extension. Therefore,

It is ORDERED that the time for FINRA to file its certified record is extended to June 14, 2017.

For the Commission, by its Secretary, pursuant to delegated authority.¹

Jill M. Peterson
Assistant Secretary

¹ 17 C.F.R. §§ 200.30-7(a)(4), 200.30-14(g)(1)(vii).