

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
February 10, 2016

SECURITIES EXCHANGE ACT OF 1934
Release No. 77107 / February 10, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-17109

In the Matter of

JOHN VINCENT BALLARD

ORDER DIRECTING
THE FILING OF BRIEFS

On February 9, 2016, John Vincent Ballard filed an application for review pursuant to Rule 420(a) of the Commission's Rules of Practice requesting that the Commission review a Financial Industry Regulatory Authority decision issued on December 17, 2015.

At this time, the Commission requests the views of the parties as to whether Ballard's appeal should be dismissed as untimely pursuant to Commission Rule of Practice 420(b).

It is ORDERED that the parties file briefs on this issue, not to exceed five pages, by February 24, 2016. Responses to the initial briefs, not to exceed three pages, may be filed by March 2, 2016, and no further briefs may be filed without seeking leave from the Commission.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary