

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION  
SECURITIES EXCHANGE ACT OF 1934  
Release No. 76025 / September 29, 2015  
WHISTLEBLOWER AWARD PROCEEDING  
File No. 2015-8

---

In the Matter of the Claim for Award

in connection with

Redacted

---

**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM**

On July 13, 2015, the Claims Review Staff issued a Preliminary Determination related to Notice of Covered Action <sup>Redacted</sup> (the “Covered Action”). The Preliminary Determination recommended that <sup>Claimant</sup> (“Claimant”) receive a whistleblower award because Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action pursuant to Section 21F(b)(1) of the Securities Exchange Act of 1934 (the “Exchange Act”), 15 U.S.C. § 78u-6(b)(1), and Rule 21F-3(a) thereunder, 17 C.F.R. § 240.21F-3(a). Further, the Claims Review Staff recommended that such award be set in the amount of twenty-eight percent (28%) of the monetary sanctions collected or to be collected in the Covered Action. In arriving at this recommendation, the Claims Review Staff considered the factors set forth in Rule 21F-6, 17 C.F.R. § 240.21F-6, in relation to the facts and circumstances of Claimant’s application.

On August 19, 2015, Claimant provided written notice to the Commission of Claimant’s decision not to contest the Preliminary Determination within the 60-day

**In the Matter of the Claim for Award**  
Redacted

**Notice of Covered Action**<sup>Redacted</sup>  
**Page 2**

deadline set out in Rule 21F-10(e) promulgated under the Exchange Act, 17 C.F.R. § 240.21F-10(e), and, pursuant to Rule 21F-10(f) thereunder, 17 C.F.R. § 240.21F-10(f), the Preliminary Determination became the Proposed Final Determination of the Claims Review Staff.

Upon due consideration under Rules 21F-10(f) and (h), 17 C.F.R. § 240.21F-10(f) and (h), and for the reasons set forth in the Preliminary Determination, it is hereby ORDERED that Claimant shall receive an award of twenty-eight (28%) of the monetary sanctions collected in this Covered Action, including any monetary sanctions collected after the date of this Order.

By the Commission.

Brent J. Fields  
Secretary