

UNITED STATES OF AMERICA

before the

SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934

Release No. 76000 / September 28, 2015

WHISTLEBLOWER AWARD PROCEEDING

File No. 2015-7

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In the Matter of the Claim for Award

in connection with

Redacted

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**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM**

On June 5, 2015, the Claims Review Staff issued a Preliminary Determination related to Notice of Covered Action <sup>Redacted</sup> (the "Covered Action"). The Preliminary Determination recommended that <sup>Claimant 1</sup> and <sup>Claimant 2</sup>

each receive a whistleblower award because they voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action pursuant to Section 21F(b)(1) of the Securities Exchange Act of 1934 (the "Exchange Act"), 15 U.S.C. § 78u-6(b)(1), and Rule 21F-3(a) thereunder, 17 C.F.R. § 240.21F-3(a). Further, the Claims Review Staff recommended that such award be set in the amount of twenty percent (20%), in total, with eleven percent (11%) to <sup>Claimant 1</sup> and nine percent (9%) to <sup>Claimant 2</sup> of the monetary sanctions collected or to be collected in the Covered Action. In arriving at this recommendation, the Claims Review Staff considered the factors set forth in Rule 21F-6, 17 C.F.R. § 240.21F-6, in relation to the facts and circumstances of <sup>Claimant 1</sup> and <sup>Claimant 2</sup> applications.

On July 16, 2015 and June 11, 2015, <sup>Claimant 1</sup> and <sup>Claimant 2</sup> respectively, provided written notice to the Commission of their decision not to contest the Preliminary

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Redacted**

**Notice of Covered Action** Redacted

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Determination within the 60-day deadline set out in Rule 21F-10(e) promulgated under the Exchange Act, 17 C.F.R. § 240.21F-10(e), and, pursuant to Rule 21F-10(f) thereunder, 17 C.F.R. § 240.21F-10(f), the Preliminary Determination became the Proposed Final Determination of the Claims Review Staff.

Upon due consideration under Rules 21F-10(f) and (h), 17 C.F.R. § 240.21F-10(f) and (h), and for the reasons set forth in the Preliminary Determination, it is hereby ORDERED that <sup>Claimant 1</sup> shall receive an award of eleven percent (11%) and <sup>Claimant 2</sup> shall receive an award of nine percent (9%) of the monetary sanctions collected in this Covered Action, including any monetary sanctions collected after the date of this Order.

By the Commission.

Brent J. Fields  
Secretary