

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION  
September 3, 2014

SECURITIES EXCHANGE ACT OF 1934  
Release No. 72974 / September 3, 2014

Admin. Proc. File No. 3-15628

In the Matter of

DANIEL IMPERATO

ORDER  
GRANTING  
PETITION  
FOR REVIEW  
AND SCHEDULING  
BRIEFS

Pursuant to Commission Rule of Practice 411,<sup>1</sup> the petition of Daniel Imperato for review of the administrative law judge's initial decision is granted. In addressing the issues raised by Imperato's petition, the parties are also directed to address the question of whether the initial decision should be summarily affirmed pursuant to Rule of Practice 411(e)<sup>2</sup> Pursuant to Rule of Practice 411(d),<sup>3</sup> the Commission will determine what sanctions, if any, are appropriate in this matter.

Accordingly, IT IS ORDERED, pursuant to Rule of Practice 450(a),<sup>4</sup> that a brief in support of the petition for review shall be filed by October 3, 2014. A brief in opposition

---

<sup>1</sup> 17 C.F.R. § 201.411.

<sup>2</sup> 17 C.F.R. § 201.411(e).

<sup>3</sup> 17 C.F.R. § 201.411(d).

<sup>4</sup> 17 C.F.R. § 201.450(a).

shall be filed by November 3, 2014, and any reply brief shall be filed by November 17, 2014.<sup>5</sup> Pursuant to Rule of Practice 180(c),<sup>6</sup> failure to file a brief in support of the petition may result in dismissal of this review proceeding as to that petitioner.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Lynn M. Powalski  
Deputy Secretary

---

<sup>5</sup> As provided by Rule of Practice 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules 150 – 153, 17 C.F.R. §§ 201.150 – 153, with respect to form and service, and Rules of Practice 450(b) and (c), 17 C.F.R. §§ 201.450(b), 201.450(c), with respect to content and length limitations. Requests for extensions of time to file briefs are disfavored.

<sup>6</sup> 17 C.F.R. § 201.180(c).