UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 104536 / January 5, 2026

Admin. Proc. File No. 3-21516

In the Matter of the Application of

RICKY ALAN MANTEI

For Review of Disciplinary Action Taken by

FINRA

ORDER EXTENDING TIME TO ISSUE DECISION

The Commission has determined, in its discretion, that it is appropriate to extend by 90 days the period within which the decision in this matter may be issued. Accordingly, IT IS ORDERED that such period be, and hereby is, extended to April 6, 2026.[1]

By the Commission.

Vanessa A. Countryman
Secretary

[1] April 5, 2026, is a Sunday.