

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 102998 / May 7, 2025

Admin. Proc. File No. 3-21944

In the Matter of

GEORGE RAUCH (F/K/A GEORGE B. FASCIANO)

ORDER DIRECTING PREHEARING CONFERENCE

On May 20, 2024, the Securities and Exchange Commission issued an order instituting administrative proceedings (“OIP”) against George Rauch (f/k/a George B. Fasciano) under Section 15(b) of the Securities Exchange Act of 1934.¹ On January 7, 2025, we issued an order discharging a previous order to show cause and extending the time for Rauch to file an answer to the OIP.² On January 30, 2025, Rauch filed an answer to the OIP.

Although the OIP directed the parties to conduct a prehearing conference within 14 days of service of the answer, under the circumstances, we direct the parties to conduct a prehearing conference by the date below. As provided in the OIP, the parties may meet in person or participate by telephone or other remote means. The OIP further directed the parties to file a statement with the Office of the Secretary advising the Commission of any agreements reached at said conference.

Accordingly, it is ORDERED that by June 4, 2025, the parties shall conduct a prehearing conference and file a statement with the Office of the Secretary advising the Commission of any agreements reached at the prehearing conference. If a prehearing conference is not held, both parties shall file by June 11, 2025, a statement, jointly or separately, advising the Commission of that fact and of the efforts made to meet and confer.

Pursuant to Rule of Practice 180(c), a party’s failure to comply with this order may result in the Commission’s determination of the matter at issue against that party, entry of a default,

¹ *George Rauch (f/k/a George B. Fasciano)*, Exchange Act Release No. 100184, 2024 WL 2289229 (May 20, 2024).

² *George Rauch (f/k/a George B. Fasciano)*, Exchange Act Release No. 102129, 2025 WL 41958 (Jan. 7, 2025).

dismissal of the proceeding, or the prohibition of the introduction of evidence or the exclusion of testimony regarding the matter at issue.³

The parties' attention is directed to the e-filing requirements in the Rules of Practice.⁴ We also remind the parties that any document filed with the Commission must be served upon all participants in the proceeding and be accompanied by a certificate of service.⁵ Filing a document through the Commission's electronic filing system does not serve it on opposing counsel.⁶

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Vanessa A. Countryman
Secretary

³ 17 C.F.R. § 201.180(c).

⁴ See Rules of Practice 151, 152(a), 17 C.F.R. §§ 201.151, .152(a) (providing procedure for filing papers with the Commission and mandating electronic filing in the form and manner posted on the Commission's website); *Instructions for Electronic Filing and Service of Documents in SEC Administrative Proceedings and Technical Specifications*, <https://www.sec.gov/efapdocs/instructions.pdf>. Parties generally also must certify that they have redacted or omitted sensitive personal information from any filing. Rule of Practice 151(e), 17 C.F.R. § 201.151(e).

⁵ See Rule of Practice 150, 17 C.F.R. § 201.150 (generally requiring parties to serve each other with their filings); Rule of Practice 151(d), 17 C.F.R. § 201.151(d) (requiring "a certificate stating the name of the person or persons served, the date of service, the method of service, and the mailing address or email address to which service was made, if not made in person").

⁶ See *Bradley C. Reifler*, Advisers Act Release No. 6304, 2023 WL 3274687, at *1 & n.3 (May 5, 2023).