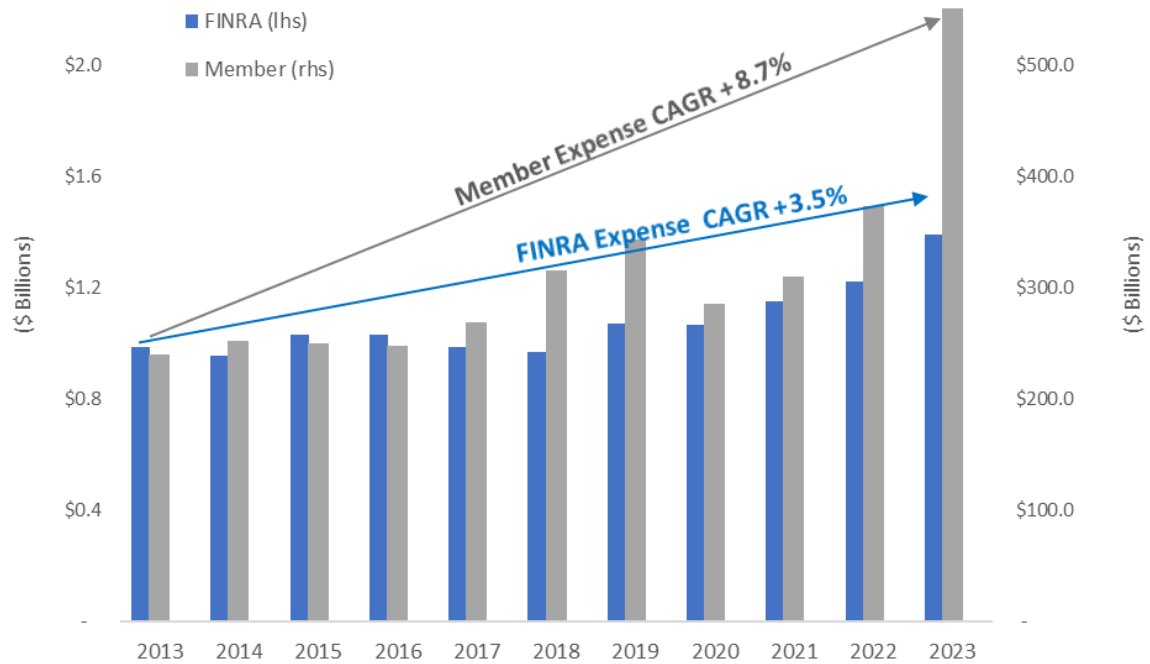


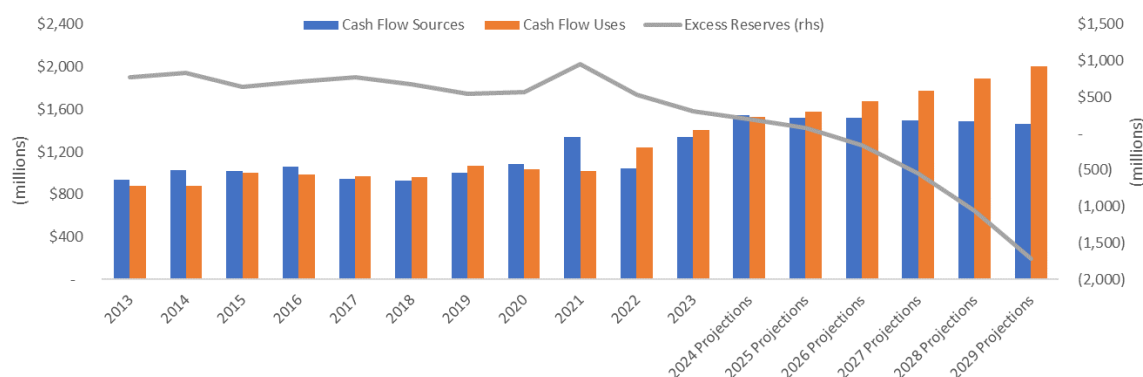
### Exhibit 3 – Supporting Charts

**Chart 1: FINRA & Member Expenses**



Notes: Member expenses reflect FOCUS Expenses, which are supplied by individual firms and aggregated for analysis purposes. FINRA expenses represent the total expenses shown in FINRA's public Annual Financial Reports excluding FINRA Investor Education Foundation and FINRA CAT, LLC.

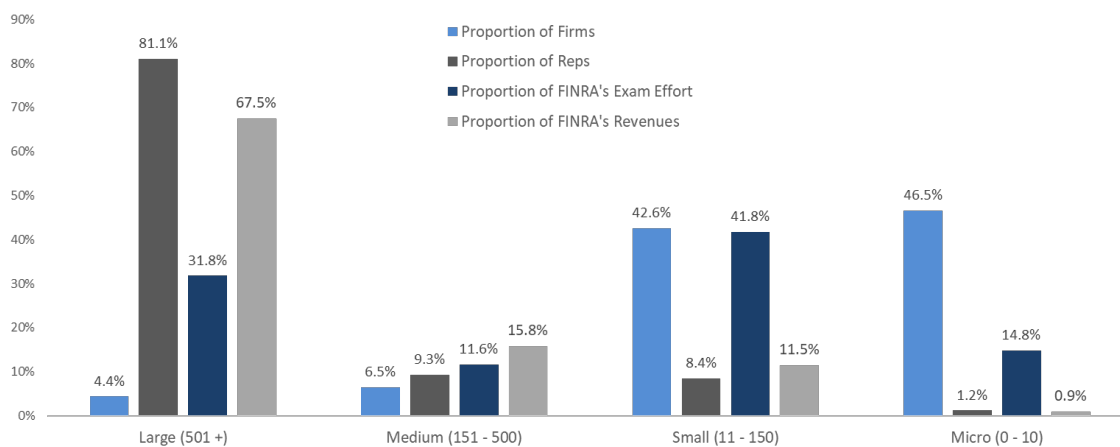
**Chart 2: Cash Flow Sources, Cash Flow Uses, Excess Reserve Balance (excludes fee increase)**



Notes: Cash Flow Sources Include all operating revenues, fines, and investment returns. See supra note 10. for additional detail. Cash Flow Uses Include operating expenses, initiatives, and other uses. Excess Reserves represent the Reserve Balance less one year of FINRA's expenditures, or Cash Flow Uses, which serves as the target level of the Reserves based on FINRA's Financial Guiding Principles approved by FINRA's Board of Governors.

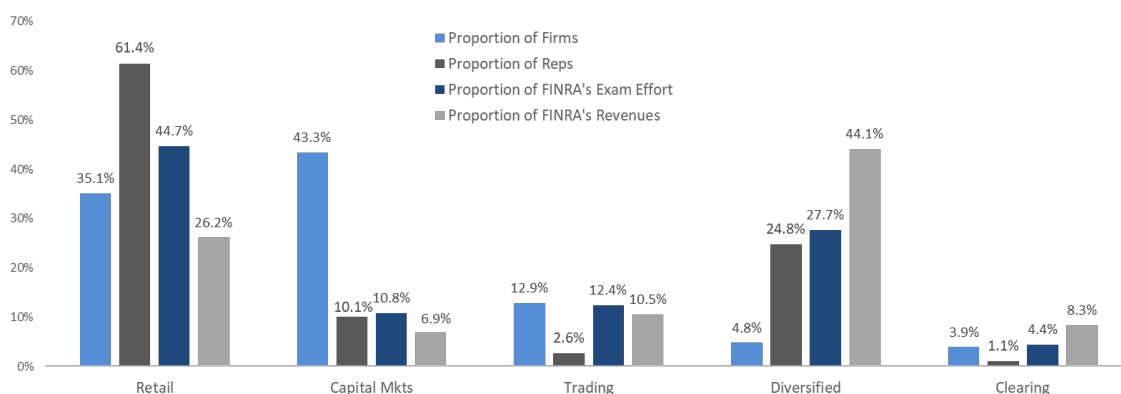
All data excludes FINRA Investor Education Foundation and FINRA CAT, LLC.

**Chart 3: Member Firm Composition by Firm Size Category**



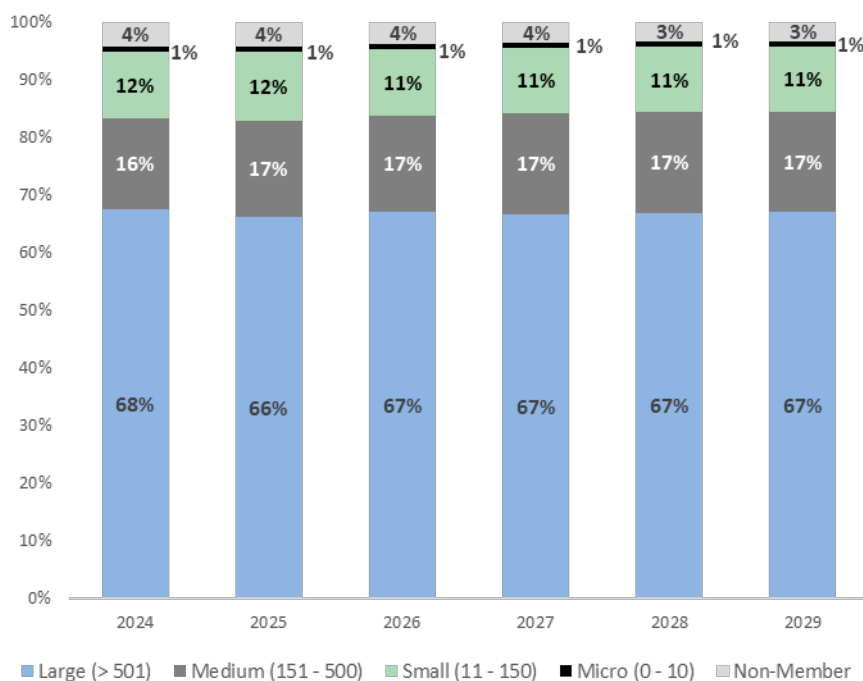
Notes: Revenues based on regulatory and use-based fees. See supra note 110.

**Chart 4: Member Firm Composition by Business Model Category**



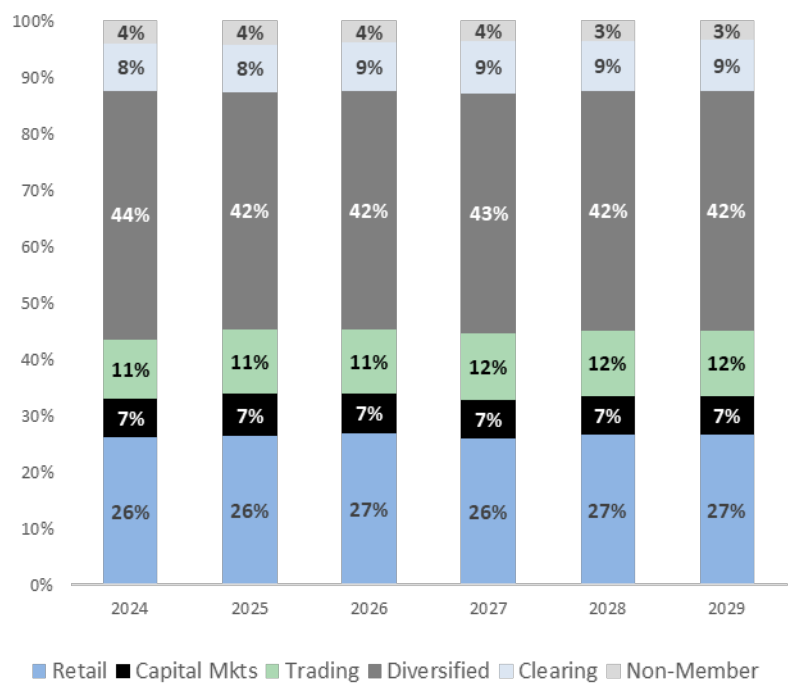
Notes: Revenues based on regulatory and use-based fees. See supra note 110.

**Chart 5: Percentages of Regulatory and Use-Based Fees by Firm Size Category**



Notes: Revenues based on regulatory and use-based fees. See supra note 110.

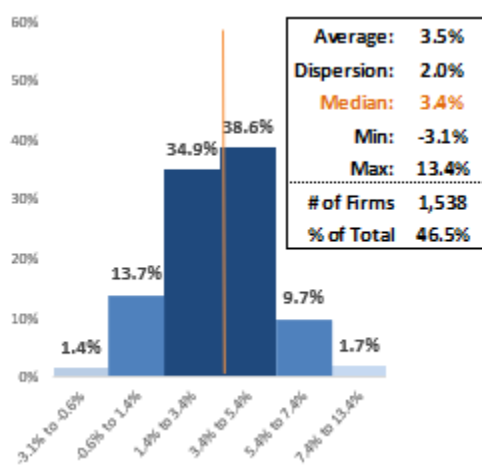
**Chart 6: Percentages of Regulatory and Use-Based Fees by Business Model Category**



Notes: Revenues based on regulatory and use-based fees. See supra note 110.

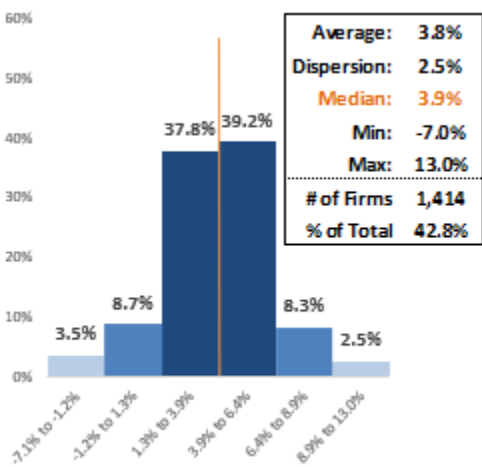
## Charts 7-10: Distribution of CAGRs for Each Firm Size Category

**Chart 7: 2025-2029 Micro Firms CAGR Dispersion**

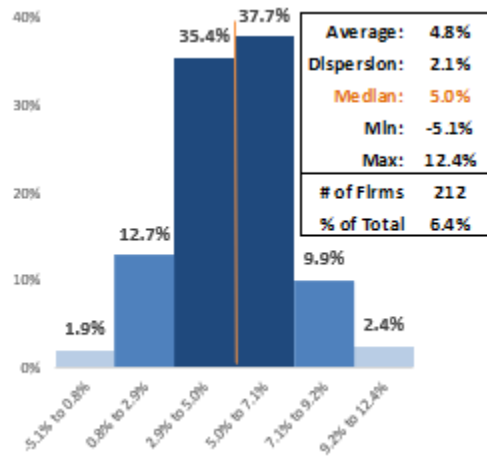


Notes: Revenues based on regulatory and use-based fees. See supra note 110.

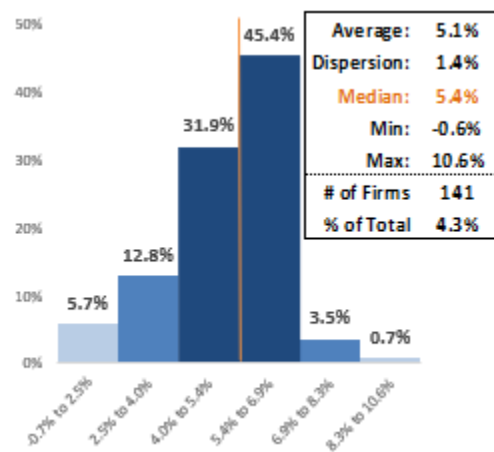
**Chart 8: 2025-2029 Small Firms CAGR Dispersion**



Notes: Revenues based on regulatory and use-based fees. See supra note 110.

**Chart 9: 2025-2029 Mid-Size Firms CAGR Dispersion**

Notes: Revenues based on regulatory and use-based fees. See supra note 110.

**Chart 10: 2025-2029 Large Firms CAGR Dispersion**

Notes: Revenues based on regulatory and use-based fees. See supra note 110.