

UNITED STATES OF AMERICA  
before the  
SECURITIES AND EXCHANGE COMMISSION  
June 18, 2014

SECURITIES ACT OF 1933  
Release No. 9602 / June 18, 2014

SECURITIES EXCHANGE ACT OF 1934  
Release No. 72428 / June 18, 2014

Admin. Proc. File No. 3-15619

In the Matter of  
  
JOSEPH P. DOXEY AND  
WILLIAM J. DANIEL

ORDER  
GRANTING  
PETITION  
FOR REVIEW  
AND SCHEDULING  
BRIEFS

Pursuant to Rule of Practice 411,<sup>1</sup> the petition of Joseph P. Doxey for review of the administrative law judge's initial decision is granted.<sup>2</sup> Pursuant to Rule of Practice 411(d),<sup>3</sup> the Commission will determine what sanctions, if any, are appropriate in this matter.

Accordingly, IT IS ORDERED, pursuant to Rule of Practice 450(a),<sup>4</sup> that a brief in support of the petition for review shall be filed by July 18, 2014. A brief in opposition

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<sup>1</sup> 17 C.F.R. § 201.411.

<sup>2</sup> The Division of Enforcement filed an opposition to Doxey's petition, but the Rules of Practice do not currently provide for such a filing. *Adoption of Amendments to the Rules of Practice*, Exchange Act Release No. 49412, 2004 WL 503739, at \*12 (Mar. 12, 2004) (amending rules to eliminate oppositions to petitions for review); *Proposed Amendments to the Rules of Practice*, Exchange Act Release No. 48832, 2003 WL 22827684, at \*13 (Nov. 23, 2003).

<sup>3</sup> 17 C.F.R. § 201.411(d).

<sup>4</sup> 17 C.F.R. § 201.450(a).

shall be filed by August 18, 2014, and any reply brief shall be filed by September 2, 2014.<sup>5</sup> Pursuant to Rule of Practice 180(c),<sup>6</sup> failure to file a brief in support of the petition may result in dismissal of this review proceeding as to that petitioner.

For the Commission, by the Office of General Counsel, pursuant to delegated authority.

Jill M. Peterson  
Assistant Secretary

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<sup>5</sup> As provided by Rule of Practice 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules 150 – 153, 17 C.F.R. §§ 201.150 – 153, with respect to form and service, and Rules of Practice 450(b) and (c), 17 C.F.R. §§ 201.450(b), 201.450(c), with respect to content and length limitations. Requests for extensions of time to file briefs are disfavored.

<sup>6</sup> 17 C.F.R. § 201.180(c).