

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES ACT OF 1933
Release No. 10382 / June 30, 2017

SECURITIES EXCHANGE ACT OF 1934
Release No. 81059 / June 30, 2017

INVESTMENT COMPANY ACT OF 1940
Release No. 32719 / June 30, 2017

ADMINISTRATIVE PROCEEDING
File No. 3-15514

In the Matter of	:	
	:	
FRANK CHIAPPONE,	:	
ANDREW G. GUZZETTI,	:	ORDER SCHEDULING
WILLIAM F. LEX,	:	ORAL ARGUMENT
THOMAS E. LIVINGSTON,	:	
BRIAN T. MAYER, and	:	
PHILIP S. RABINOVICH	:	

IT IS ORDERED that this matter is scheduled for oral argument at 1:00 p.m. on Tuesday, August 15, 2017, at 100 F Street, NE, Washington, DC, in the Auditorium (L-002).

A total of 75 minutes has been allocated for the argument, 50 minutes to counsels for Respondents and 25 minutes to counsel for the Division of Enforcement. The parties will argue in the above order, and Respondents may reserve time for rebuttal. The Respondents may determine among themselves how to divide the 50 minutes allotted jointly to them and shall report their determination to the Office of the Secretary at least one week prior to the argument.

Rule 451 of the Commission's Rules of Practice provides that a member of the Commission not present at the oral argument may participate in the Commission's decision, subject to the understanding that he or she will review the transcript of the argument.

For the Commission, by its Secretary, pursuant to delegated authority.

Jill M. Peterson
Assistant Secretary