

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION
August 22, 2016

SECURITIES ACT OF 1933
Release No. 10126 / August 22, 2016

SECURITIES EXCHANGE ACT OF 1934
Release No. 78637 / August 22, 2016

INVESTMENT ADVISERS ACT OF 1940
Release No. 4491 / August 22, 2016

INVESTMENT COMPANY ACT OF 1940
Release No. 32222 / August 22, 2016

ADMINISTRATIVE PROCEEDING
File No. 3-16801

In the Matter of

BENNETT GROUP FINANCIAL SERVICES, LLC, and
DAWN J. BENNETT

ORDER
GRANTING
PETITION
FOR REVIEW
AND SCHEDULING
BRIEFS

Pursuant to Commission Rule of Practice 411,¹ the petition of Bennett Group Financial Services, LLC and Dawn J. Bennett for review of the administrative law judge's initial decision² is granted. Pursuant to Rule of Practice 411(d),³ the Commission will determine what sanctions, if any, are appropriate in this matter.

Accordingly, IT IS ORDERED, pursuant to Rule of Practice 450(a),⁴ that a brief in support of the petition for review shall be filed by September 21, 2016. A brief in opposition shall

¹ 17 C.F.R. § 201.411.

² *Bennett Grp. Fin. Servs., LLC, and Dawn J. Bennett*, Initial Decision Release No. 1033 (July 11, 2016), 114 SEC Docket 11, 2016 WL 4035560.

³ 17 C.F.R. § 201.411(d).

⁴ 17 C.F.R. § 201.450(a).

be filed by October 21, 2016, and any reply brief shall be filed by November 4, 2016.⁵ Pursuant to Rule of Practice 180(c),⁶ failure to file a brief in support of the petition may result in dismissal of this review proceeding as to that petitioner.

For the Commission, by the Office of the General Counsel, pursuant to delegated authority.

Brent J. Fields
Secretary

⁵ As provided by Rule of Practice 450(a), no briefs in addition to those specified in this schedule may be filed without leave of the Commission. Attention is called to Rules 150 – 153, 17 C.F.R. § 201.150 – 153, with respect to form and service, and Rules of Practice 450(b) and (c), 17 C.F.R. § 201.450(b), 201.450(c), with respect to content and length limitations. Requests for extensions of time to file briefs are disfavored.

⁶ 17 C.F.R. § 201.180(c).