

August 25, 2021

VIA EMAIL AND HAND DELIVERY

Vanessa Countryman
Secretary
U.S. Securities and Exchange Commission
100 F Street N.E.
Washington, D.C. 20549

Re: Notice of Intention to Petition for Review of Order Disapproving a Proposed Rule Change to Amend its Rules Establishing Maximum Fee Rates to be Charged by Member Organizations for Forwarding Proxy and Other Materials to Beneficial Owners, Release No. 34-92700, File No. SR-NYSE-2020-96

Dear Ms. Countryman:

As counsel for the New York Stock Exchange LLC ("NYSE"), I submit this letter, pursuant to Rule 430(b)(1) of the U.S. Securities and Exchange Commission's Rules of Practice, as written notice of NYSE's intention to petition for review of the Order Disapproving a Proposed Rule Change to Amend its Rules Establishing Maximum Fee Rates to be Charged by Member Organizations for Forwarding Proxy and Other Materials to Beneficial Owners, Release No. 34-92700, File No. SR-NYSE-2020-96. The action disapproving the rule change was made on August 18, 2021 by the Division of Trading and Markets pursuant to its delegated authority under 17 C.F.R. § 200.30-3(a)(12)) (*see* <https://www.sec.gov/rules/sro/nyse/2021/34-92700.pdf>). NYSE intends to file separately a petition for review in accordance with SEC Rule 430(b)(2).

Any questions concerning this matter can be directed to me as counsel of record.

Sincerely,



Paul S. Mishkin

CERTIFICATE OF SERVICE

I, Paul S. Mishkin, counsel to the New York Stock Exchange LLC (“NYSE”), hereby certify that on August 25, 2021, I caused to be served copies of the attached Notice of Intention to Petition for Review of Order Disapproving a Proposed Rule Change to Amend its Rules Establishing Maximum Fee Rates to be Charged by Member Organizations for Forwarding Proxy and Other Materials to Beneficial Owners, Release No. 34-92700, File No. SR-NYSE-2020-96 on the U.S. Securities and Exchange Commission at the following address:

Vanessa A. Countryman
Secretary
Securities and Exchange Commission
100 F Street NE
Washington, DC 20549-1090
Email: Secretarys-Office@sec.gov
(via email and hand delivery)

Dated: August 25, 2021
New York, New York



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