

UPDATES TO FORM PF FREQUENTLY ASKED QUESTIONS

The Division of Investment Management staff updated the Form PF FAQs to provide additional guidance regarding general filing requirements and specific questions of the Form.

The staff added FAQs relating to the following sections and questions:

- ▲ Section A: General Filing Information
- ▲ Question 14
- ▲ Question 24
- ▲ Question 35
- ▲ Question 46
- ▲ Question 47

The updated Form PF FAQs may be found here:

<http://www.sec.gov/divisions/investment/pfrd/pfrdfaq.shtml>

The Investment Management Division works to:

- ▲ protect investors
- ▲ promote informed investment decisions and
- ▲ facilitate appropriate innovation in investment products and services

through regulating the asset management industry.

If you have any questions about this IM Information Update, please contact:

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