

UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF PENNSYLVANIA

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

EMANUEL L. SARRIS, SR. and

SARRIS FINANCIAL GROUP, INC.

Defendants.

Civil Action No.
12-4272-CDJ

FILED

JAN 10 2020

KATE BARKMAN, Clerk
By _____ Dep. Clerk

**ORDER ESTABLISHING A FAIR FUND, APPROVING A DISTRIBUTION PLAN,
AND APPOINTING A DISTRIBUTION AGENT**

The Court, having reviewed the Securities and Exchange Commission's ("SEC") Motion for an Order establishing a Fair Fund, approving the SEC's plan to distribute the funds under this Court's jurisdiction in this matter (the "Plan"), and appointing Catherine E. Pappas, an SEC employee, as the Distribution Agent (the "Motion"),

AND notice of the proposed plan (the "Notice") having been provided to investors by the SEC, along with an opportunity to object;

AND all objections timely filed having been resolved, and any not previously submitted in accordance with the Notice having been waived,

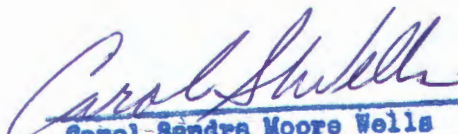
IT IS HEREBY ORDERED that:

1. The Motion is **GRANTED**;

2. A Fair Fund is established pursuant to Section 308(a) of the Sarbanes Oxley Act of 2002, 15 U.S.C. § 7246(a), for all funds under the Court's jurisdiction in this matter, including any accrued interest (the "Fair Fund");
3. The SEC is ordered to include in the Fair Fund all amounts it currently holds in this action;
4. The Plan is approved and the Fair Fund, less a reserve for Administrative Costs as defined in the Plan, shall be distributed in accordance with the Plan; and
5. Catherine E. Pappas is appointed as the Distribution Agent under the terms set forth in the Plan.

IT IS SO ORDERED.

Dated: Jan 10, 2020


Carol Sandra Moore Wells
United States Magistrate Judge