IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF PENNSYLVANIA

:

SECURITIES AND EXCHANGE

COMMISSION, :

Plaintiff, :

v. : Civ. No. 09-63

:

JOSEPH S. FORTE, et al.,

Defendants.

ORDER

On January 7, 2009, the SEC brought this action against Defendants Joseph S. Forte and Joseph Forte L.P., alleging violations of the Securities Act of 1933, the Securities Exchange Act of 1934, and Rule 10b-5 thereunder. (Doc. No. 1); see also 15 U.S.C. §§ 77q(a), §78j(b); 17 C.F.R. § 240.10b5. On September 30, 2009, I entered a Partial Final Judgment as to all Defendants, resolving this action with respect to liability and ordered certain relief, including the imposition of permanent injunctions. (Doc. No. 34.) At the Parties' request, I reserved judgment on the issues of disgorgement, prejudgment interest, and civil penalty for future consideration. (Id.) The SEC has decided not to seek disgorgement, prejudgment interest, or a civil penalty in this action and has now filed an unopposed Motion for Entry of Final Judgment. (Doc. No. 262.) I will grant the SEC's Motion (Doc. No. 262) and enter Judgment against Defendants. An appropriate Judgment follows.

AND IT IS SO ORDERED.

/s/ Paul S. Diamond

Paul S. Diamond, J.

September 12, 2018