



**IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

	:	
<b>UNITED STATES SECURITIES</b>	:	
<b>AND EXCHANGE COMMISSION,</b>	:	
	:	
<b>Plaintiff,</b>	:	<b>Civil Case No. 09-676</b>
	:	
v.	:	<b>Judge Jeffrey Cole</b>
	:	
<b>STEPHAN VON HASE, CTA WORLDWIDE</b>	:	
<b>SERVICES, S.A., et al.</b>	:	
	:	
<b>Defendants,</b>	:	
	:	

**FINAL JUDGMENT AS TO DEFENDANT  
INTERNATIONAL CAPITAL FINANCIAL RESOURCES, LLC**

On October 23, 2013, an entry of default was entered against defendant International Capital Financial Resources, LLC (“ICFR”) (Docket No. 447). Plaintiff Securities and Exchange Commission (“SEC” or “Commission”) now moves for a default judgment against ICFR. (Docket No. 453.) Having considered the Motion and the matter in the Premises, it is **HEREBY ORDERED** that the SEC’s Motion is granted.

It is **FURTHER HEREBY ORDERED** that judgment by default is hereby entered in favor of the Commission and against ICFR on Counts I, II, III and V of the Second Amended Complaint.

It is **FURTHER HEREBY ORDERED** that, for ICFR’s disgorgement of ill-gotten gains, Bank of America, optionsXpress, and Apex Clearing (together the “Financial Institutions”) are ordered to liquidate and transfer the holdings in the following accounts, with ICFR as the accountholder, to plaintiff United States Securities and Exchange Commission:

LOCATION	ACCOUNT NO.	HOLDINGS
Bank of America	xxxx-6238	Cash
Bank of America	xxxx-3179	Cash
optionsXpress	xxxx-0478	Cash
optionsXpress	xxxx-0478	ProShares Ultra Financials ETF (symbol: UYG) securities <sup>1</sup>
Apex Clearing	xxxx-5427	Cash <sup>2</sup>

The Financial Institutions may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payments may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. The Financial Institutions may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center  
Accounts Receivable Branch  
6500 South MacArthur Boulevard  
Oklahoma City, OK 73169

<sup>1</sup> For this account, optionsExpress is ordered to liquidate only the ProShares Ultra Financials ETF (symbol: UYG) securities and to transfer those proceeds to the Commission. This order does not apply to any other securities held in optionExpress account no. xxxx-0478.

<sup>2</sup> For this account, Apex Clearing is ordered to transfer only the cash to the Commission. This order does not apply to any securities held in Apex Clearing account no. xxxx-5427.

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; International Capital Financial Resources, LLC as defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

The Financial Institutions shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making payment, ICFR and the Financial Institutions relinquish all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to any Financial Institution or to ICFR.

It is **FURTHER HEREBY ORDERED** that all other requests for relief against ICFR, and all claims asserted against Jason B. Meyers, set forth in the Second Amended Complaint are voluntarily dismissed with prejudice.

**IT IS FURTHER ORDERED, ADJUDGED, AND DECREED** that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated: Jun 29., 2014

  
UNITED STATES DISTRICT JUDGE