

## MEMORANDUM

**To:** File Nos. SR-NASDAQ-2011-10; SR-PHLX-2013-113

**From:** Jaime Klima, Counsel to Commissioner Michael S. Piwowar

**Re:** Meeting with Representatives of NASDAQ OMX

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On January 30, 2014, Commissioner Michael S. Piwowar and Jaime Klima, Counsel to Commissioner Piwowar, met with the following representatives of NASDAQ OMX:

- Frank Hatheway
- Thomas Wittman
- Jeff Davis

Among the topics discussed were: (1) NASDAQ's "platform pricing" proposal; and (2) NASDAQ OMX PHLX's proposal to offer a customer rebate.