

MEMORANDUM

To: File No. SR-OCC-2024-010

From: Office of Clearance and Settlement, Division of Trading and Markets

Re: Meeting with the Security Traders Association

Date: October 9, 2024

On October 9, 2024, staff from the Division of Trading and Markets (TM) met with the Security Traders Association (STA). Among the general topics discussed was the clearing agency filing by the Options Clearing Corp. (OCC) to establish a margin add-on charge that would be applied to all clearing member accounts to help mitigate the risks arising from intraday and overnight trading activity.¹

Attendees from TM included Kyle Druding, Aaron Foxman, Richard Holly, Eric Juzenas, Jeffrey Mooney, Andrea Orr, Sharon Park, John Prochilo, Kelly Riley, Moshe Rothman, David Saltiel, and Haoxiang Zhu.

Attendees from STA included James Hyde and Jim Toes.

¹ See Securities Exchange Act Release No. 100664 (Aug. 6, 2024), 89 FR 65695 (Aug. 12, 2024) (File No. SR-OCC-2024-010).