Memorandum

To: SR-OCC-2017-810

From: Office of Clearance and Settlement, Division of Trading and Markets

Re: OCC Response to Commission Request for Additional Information


On January 22, 2018, the Commission requested additional information from OCC pursuant to Section 806(e)(1)(D) of the Clearing Supervision Act. OCC responded to this request for information, and the information was received on July 13, 2018.

Pursuant to Section 806(e)(1) of the Clearing Supervision Act, the Commission’s period of review of the Advance Notice is tolled and shall be 60 days from the date the information requested is received by the Commission, absent an additional information request. Accordingly, the Commission’s period of review of the Advance Notice shall conclude September 11, 2018 absent an additional information request or extension for novel and complex matters.

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5. See 12 U.S.C. 5465(e)(1)(E) and (G).