

MEMORANDUM

TO: File No. SR-OCC-2014-813

FROM: Neil Lombardo
Office of Commissioner Luis A. Aguilar

DATE: January 13, 2015

SUBJECT: Meeting with Representatives of The Options Clearing Corporation (OCC)

On January 13, 2015, Commissioner Luis A. Aguilar (by teleconference), Smeeta Ramarathnam, Chief of Staff to Commissioner Aguilar, Paul A. Gumagay, Counsel to Commissioner Aguilar, and Neil Lombardo, Counsel to Commissioner Aguilar, met with OCC representatives Craig Donohue (Executive Chairman), Michael McClain (President and Chief Operating Officer), and Joseph Corcoran (First VP and Head of Government Relations). The discussion included, OCC's proposal to amend its capital plan.