

## MEMORANDUM

**To:** File No. SR-NYSENAT-2020-05

**From:** Eli Kozminsky, Attorney-Adviser, Office of Market Supervision, Division of Trading and Markets, U.S. Securities and Exchange Commission

**Date:** July 15, 2020

**Re:** Meeting with Representatives of the Securities Industry and Financial Markets Association

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On July 14, 2020, representatives of the Securities and Exchange Commission (“Commission”) participated in a call with representatives of the Securities Industry and Financial Markets Association (“SIFMA”). The Commission representatives were Brett Redfearn, Christian Sabella, Andrea Orr, David Shillman, John Roeser, Daniel Gray, Yue Ding, Amy Edwards, Ilia Rainer, Gerard Citera, Peggy Sullivan, Michou Nguyen, and Eli Kozminsky.

The SIFMA representatives were the following:

SIFMA Members:

- Sapna Patel, Morgan Stanley
- Vlad Khandros, UBS
- Debbie A Toennies, JP Morgan
- Anna Kurzok, BNP Paribas
- Gregory Babyak, Bloomberg
- Chris Nielsen, Schwab
- Tom Merritt, Virtu
- Theodore R. Lazo, BIDS
- Michael Masone, Citi
- Diwa Cody, Goldman Sachs

SIFMA Staff:

- Ellen Greene
- Joe Corcoran
- Wes Althoff
- Rob Toomey

Participants discussed SIFMA’s comments related to the Commission’s Request for Information and Additional Comment regarding SR-NYSENAT-2020-05.