

MEMORANDUM

TO: File No. SR-NYSEArca-2019-39

FROM: David Remus
Office of Market Supervision, Division of Trading and Markets

DATE: September 12, 2019

SUBJECT: Meeting with Wilshire Phoenix, NYSE Arca, Inc., and Seward & Kissel LLP

On September 11, 2019, Michael E. Coe, Neel Maitra, Lauren Yates, and David Remus (by phone), from the Division of Trading and Markets, met with the following individuals:

William Hermann, Wilshire Phoenix, Founder and Managing Partner of Wilshire Phoenix Funds
William Cai, Wilshire Phoenix, Head and Partner of Wilshire Phoenix Funds
Samir Patel, NYSE Arca, Inc. (by phone)
Michael Cavalier, NYSE Arca, Inc. (by phone)
Jamie Paturelli, NYSE Arca, Inc. (by phone)
Gregg Bateman, Seward & Kissel LLP
Marlon Paz, Seward & Kissel LLP
Anthony Tu-Sekine, Seward & Kissel LLP
Kyle Swan, Seward & Kissel LLP

The discussion concerned NYSE Arca, Inc.'s proposed rule change to amend NYSE Arca Rule 8.201-E and to list and trade shares of the United States Bitcoin and Treasury Investment Trust.